Patient Safety Alert: Electronic Health Record Risks in the Emergency Department

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As healthcare delivery continues to increase in complexity and scope, hospitals and providers are hoping the electronic health record (EHR) will be an effective tool to assist in managing all the moving parts. EHRs have the potential to transform the healthcare industry and improve the overall quality and safety of care delivery. Over the years, EHRs have evolved from home grown single hospital-based to enterprise-wide systems allowing providers across different organizations to view and share records². While the use of EHRs has great potential to improve the safety and quality of care, EHR use has also come with accompanying risks. For this reason, work on the recognition and mitigation of EHR risks has become a focus on a national level⁵.

The Emergency Department (ED) is one such area that faces unique challenges and risks associated with EHR use.⁷ Because of the somewhat hectic nature of a typical ED, workarounds are ingrained in the work culture. When EHR workflows do not align with ED clinical workflows, common workarounds erupt, increasing the potential for error. For example, providers multitasking amongst numerous patients place themselves at risk for placing orders or documenting on the wrong patient, or completely failing to document an assessment or intervention.

While there has been recent focus on EHR risks, this has not filtered down to the level of areas with unique workflows and potentially high severity outcomes, such as the ED. It was because of this, the AMC PSO decided to convene a group of Emergency Medicine thought leaders to identify significant areas of concern and to explore potential mitigation strategies.

Risks

Through the lens of CRICO's clinical coding taxonomy, EHR-related adverse events were analyzed according to their contributing factors. These factors were then further classified as either *system-based or user-based*.

System-based issues feature inconsistences in the EHR system's design, security and or technological components. Examples of this include failure to access information from other EHR systems due to incompatibility or the system "being down."

Poor EHR system design coupled with improper use can cause EHR-related errors that may endanger the integrity of the medical record, consequently decreasing the quality of care delivered and possibly impacting patient safety. An example of this type of issue would be test results and evaluations that can be filed in multiple locations in the medical record.

User based issues feature inconsistences with the user's operation of the EHR. Examples include circumventing the rules of the system (i.e., bypassing alarms) and

carrying forward previous notes to a newer patient visit. Another example would be a provider receiving and bypassing an allergy alert to a medication and then ordering it.

Examples of some common system versus user issues are detailed in Table 1.

| System Issues | User Issues |
|---|--|
| Lack of integration or incompatible systems | Pre-populating, copy and paste of text |
| Failure to ensure EHR security | User created EHR or CPOE workarounds |
| Insufficient scope or area for documentation | Bypassing of alerts and decision support tools |
| Use of hybrid records: paper and electronic | Insufficient user training |
| Lack of, or overabundance of alerts and/or decision support tools | EHR patient safety issues not reported |

AMC PSO is continuously working to identify emerging risks, address known risks, and share safety strategies. Our analysis is guided by malpractice claims data, the experiences of our AMC PSO members, and consultation with clinical experts.

TABLE 1: COMMON EHR ISSUES

Case

Patient A arrives at the ED and is awake, alert and oriented but complains of abdominal and lower back pain. The patient is evaluated and treated with medication for the pain by a physician. The physician then flags the patient's record as ready for discharge. Concurrently the physician is seeing patient B with a high Hepatitis B risk and orders labs and prophylaxis. Patient A receives the order and the lab draws for Hepatitis serology and receives the order and administration of prophylaxis instead of patient B.

| SYSTEM ISSUES | USER ISSUES |
|--|--|
| • EHR allows orders after patient is flagged for discharge | Provider had multiple patient records open |
| • EHR screens are similar for all patients, thus easy to confuse patients when toggling back and forth | • Provider was managing multiple patients in a busy ED environment |
| | |

Convening

The case above represents a common scenario that highlights inherent risks related to EHR use in busy environments such as the ED. As part of the AMC PSO convening process, non-identifiable case reviews and data analyses help frame and provide a basis for deliberations on patient safety risks, contributing factors and strategies to mitigate harm. Discussion amongst the members identified additional risks related to EHR in the emergency department. These risks, summarized below, help direct the discussions that organizational leaders and front line providers should have to effectively leverage the use of the EHR for safe care delivery.

In an analysis of cases using the CRICO Comparative Benchmarking Service (CBS), approximately 72 percent of EHR-related cases in the ED were medication and diagnosis-related; medical treatment accounted for 12 percent of cases. More than half of the total ED EHR cases involved a high severity injury to the patient, with more than one-third leading to patient death. Further analyses identify EHR *user-related* issues as the most common contributing factor in the cases.

The AMC PSO's analysis of safety events reveals Emergency Medicine as the sixth highest responsible service for EHR related events.

System design and integration (and lack thereof) were noted as leading contributing factors to EHR-related events in the Emergency Medicine service.

Risk Mitigation Strategies

Several risk mitigation strategies were brought forth during the convening. Of most importance, was the importance of leadership (C-suite) engagement in framing concerns with a focus on patient safety.

Other strategies included:

- Use a photo, watermark, or room number in the EHR to easily and readily identify a patient ⁷
- Limit the number of open patient records per user to reduce errors related to toggling between charts
- Request EHR prompts to confirm if patient is correctly chosen
- Encouraging providers to complete EHR data entry before leaving the patient's room
- Select and support judicious use of alerts and clinical decision support tools
- Encourage reporting and tracking of EHR issues via the patient safety event reporting system

Conclusion

The introduction of the EHR in the ED environment has allowed for many operational, financial and patient safety improvements; however the design and use of EHRs can present new risks with the potential to negatively impact patient safety and the quality of care, if not recognized and mitigated. The AMC PSO is hopeful the strategies offered in this alert will provide a first step toward implementing a multidisciplinary approach to resolving EHR related adverse events.

ABOUT CRICO'S COMPARATIVE BENCHMARKING SERVICE (CBS)

CBS is a robust repository of approximately 30 percent of U.S. malpractice cases. The database of unique risk indicators currently holds 350,000+ medical malpractice cases from 400 hospitals, including more than 30 academic and teaching hospitals covered by both captive and commercial insurers.

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